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Approved for use through 10/31/02. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. 204552030700	
		First Inventor Yoshiaki UEDA	
		Title SEMICONDUCTOR LASER DEVICE AND MANUFACTURING METHOD THEREFOR	
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No. (labelno)	

17348 U.S. PTO
10/715025
14888

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original, and a duplicate for fee processing) 2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. 3. <input checked="" type="checkbox"/> Specification [Total Pages 34] (preferred arrangement set forth below) - Descriptive title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 8] 5. Oath or Declaration [Total Pages 1] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) 6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identify of above copies		
ACCOMPANYING APPLICATION PARTS			
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & Assignment 3 pages) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (where there is an assignee) <input type="checkbox"/> Power of Attorney 11. <input type="checkbox"/> English Translation document (if applicable) 12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 and 1 reference <input checked="" type="checkbox"/> Copies of IDS Citations 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other			

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. :
 Prior application information: Examiner : Group / Art Unit: (group)

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS																	
<input type="checkbox"/> Customer Number or Bar Code Label	<div style="border: 2px solid black; padding: 20px; font-size: 48px; margin: 0 auto; width: 150px;">25227</div> <p style="font-size: small;">(Insert Customer No. or Attach bar code label here)</p>																
<input type="checkbox"/> Correspondence address below																	
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2">Name</td> <td colspan="2"></td> </tr> <tr> <td colspan="2">Address</td> <td colspan="2"></td> </tr> <tr> <td>City</td> <td>State</td> <td>Zip Code</td> <td></td> </tr> <tr> <td>Country</td> <td>Telephone</td> <td>(703) 760-7743</td> <td>Fax</td> </tr> </table>		Name				Address				City	State	Zip Code		Country	Telephone	(703) 760-7743	Fax
Name																	
Address																	
City	State	Zip Code															
Country	Telephone	(703) 760-7743	Fax														
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Name (Print/Type)</td> <td>Barry E. Bretschneider</td> <td>Registration No. (Attorney/Agent)</td> <td>(regno) 28,055</td> </tr> <tr> <td>Signature</td> <td></td> <td colspan="2">November 18, 2003</td> </tr> </table>		Name (Print/Type)	Barry E. Bretschneider	Registration No. (Attorney/Agent)	(regno) 28,055	Signature		November 18, 2003									
Name (Print/Type)	Barry E. Bretschneider	Registration No. (Attorney/Agent)	(regno) 28,055														
Signature		November 18, 2003															

**FEE TRANSMITTAL
FOR FY 2003**

Patent fees are subject to annual revision.

Complete if Known

Application Number **NEW APPLICATION**

Filing Date	November 18, 2003
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First Named Inventor	Yoshiaki UEDA
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Examiner Name	Not Yet Assigned
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Group Art Unit	Not Yet Assigned
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Attorney Docket No.	204552030700
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TOTAL AMOUNT OF PAYMENT

\$810.00

METHOD OF PAYMENT

FEE CALCULATION (continued)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

03-1952

Morrison & Foerster LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ **Payment Enclosed:**

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

**Fee
Paid**

1001	770	201	385	Utility filing fee
1002	340	206	170	Design filing fee
1003	530	207	265	Plant filing fee
1004	770	208	385	Reissue filing fee
1005	160	214	80	Provisional filing fee

SUBTOTAL (1)	\$ 770.00
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2. EXTRA CLAIM FEES

TOTAL CLAIMS		Extra Claims	Fee from below	Fee Paid
Total Claims	6 - 20 =	x	=	\$
Independent Claims	1 - 3 =	x	=	\$
Multiple Dependent				= \$

1202	18	203	9	Claims in excess of 20
1201	86	202	43	Independent claims in excess of 3
1203	290	204	145	Multiple dependent claims, if not paid
1204	86	209	43	**Reissue independent claims over original patent
1205	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)	(\$
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3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	205	65	Surcharge - late filing fee or oath	
1052	50	227	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	139	130	Non-English specification	
1812	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	112	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
1251	110	215	55	Extension for reply within first month	
1252	400	216	200	Extension for reply within second month	
1253	920	217	460	Extension for reply within third month	
1254	1,440	218	720	Extension for reply within fourth month	
1255	1,960	228	980	Extension for reply within fifth month	
1401	320	219	160	Notice of Appeal	
1402	320	220	160	Filing a brief in support of an appeal	
1403	280	221	140	Request for oral hearing	
1451	1,510	138	1,510	Petition to institute a public use proceeding	
1452	110	240	55	Petition to revive - unavoidable	
1453	1,280	241	640	Petition to revive - unintentional	
1501	1,280	242	640	Utility issue fee (or reissue)	
1502	460	243	230	Design issue fee	
1503	620	244	310	Plant issue fee	
1460	130	122	130	Petitions of the Commissioner	
1807	50	123	50	Petitions related to provisional applications	
1806	180	126	180	Submission of Information Disclosure Stmt	
8021	40	581	40	Recording each patent assignment per properties (times number of properties)	\$ 40.00
1809	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	740	279	370	Request for Continued Examination (RCE)	
1802	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)	\$ 40.00
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SUBMITTED BY

Name (Print/Type)

Barry E. Bretschneider

Registration No.
(Attorney/Agent)

28 055

Complete (if applicable)

Telephone

(703) 760-7743

Signature

Date _____

November 18, 2003

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